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### ATTORNEY'S DOCKET NO. V00077.70221.US

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Steven R. Walther

Serial No:

Reissue Application for U.S. Patent No. 6,323,497

Filed:

Herewith

For:

METHOD AND APPARATUS FOR CONTROLLING ION IMPLANTATION

**DURING VACUUM FLUCTUATION** 

Examiner:

Not Yet Assigned

Art Unit:

Not Yet Assigned

### MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF **DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

## PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The references cited on the attached Form PTO-1449 were cited in a Search Report for the counterpart International Application No. PCT/US01/13008 to U.S. Patent 6,323,497. A copy of the Search Report is attached for the Examiner's information.

### PART III: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

Art Unit: Not Yet Assigned

An early and favorable action is hereby requested.

Respectfully submitted,

Steven R. Walther, Applicant

By:

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Docket No. V00077.70221.US

Date: June 24, 2003

**XNDDX** 

FORM PTO-1		,	ied)	APPLICATION NO.: Reissue Application for U.S. Patent No. 6,323,497		ATTY. DOCKET NO.: V00077.70221.US (REH)		
STATE	MEN'	Т ВҮ АР	PLICANT	FILING DATE: Herewith CONFIRMA		ATION NO.: Not Yet Assigned		
Sheet I of I				APPLICANT: Steven R. Walther				
				GROUP ART UNIT: Not Yet Assigned EXAMINER		R: Not Yet Assigned		
				U.S. 1	PATENT DOCUMENTS			
Examiner's Initials	Cite No.	U.S. Patent Document		nt	Name of Patentee or Applicant of Cited Document		Date of Publication or of issue of Cited Document MM-DD-YYYY	
		Number		Kind Code				
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Examiner's Initials	Cite No.	Foreign Patent Docume Office/ Country Number		Kind Code	Name of Patentee or Applicant of Cite Document (not necessary)		Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		EP	0457321	A2			21.11.91	1/
		EP	0964426	A2			15.12.99	V
		PCT	WO 00/07030	Al		·	10.02.00	Ż
					PATENT LITERATURE DOCU	MENTS	10.02.00	<u> </u>
Examiner's Initials	Cite No	Include name of the author (in CAPITAL LETTERS) title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s),						Translation (Y/N)

EXAMINER DATE CONSIDERED

publisher, city and/or country where published.

International Search Report dated November 20, 2001, International Application No. PCT/US01/13008.

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[NOTE - Must provide a copy of any patent, publication, other information listed, even if it was previously submitted to, or cited by, the U.S. Patent Office in an earlier application, unless the earlier application is identified by the IDS and is relied upon for an earlier filing date under 35 U.S.C. §120, and the copy was provided in the earlier application.]

<sup>\*</sup>a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. 09/586,492, filed 6/2/2000, and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications).